

# ALARM CLOCK SYSTEM, METHOD OF OPERATION AND PROGRAM PRODUCT THEREFOR

## DESCRIPTION

### BACKGROUND OF THE INVENTION

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#### *Field of the Invention*

The present invention generally relates to wake up alarm devices and, more particularly, to alarm clocks having a programmable alarm for waking a sleeper more naturally.

#### *Background Description*

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Presently, there are several types of alarm clocks available to wake a sleeping person. These include, for example, alarm clocks with a bell alarm, a beeper, or a tone generator each repetitively generating a jarring sound as an alarm. Clock radios are an attempt to eliminate the unnatural repetitive tone alarm, replacing it with broadcast music, for example, in order to wake the sleeper to a more calmly and soothingly. Even some of these clock radio alarms include functions wherein the alarm, whether a bell, beep, or radio, begins at a quiet, low volume that gradually increases until someone turns off the alarm. This ramped volume gently and gradually wakes the sleeper, to avoid the jarring effect of the clanging bell of the traditional alarm clock.

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Alarms have been developed more recently that further reduce the traumatic effect of being woken by a traditional alarm. One such product is clock that simulates dawn to

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create a natural alarm clock marketed presently in the US as the "Sunrise Alarm Clock," produced by Outside In (Cambridge) Ltd. These natural alarm clocks look like a bedside light with a built-in alarm clock. Simulating dawn gives the sleeper's internal body clock an opportunity to synchronize with the alarm, thereby, waking the person gently and more naturally. The manufacturer asserts that unlike traditional alarms, which may leave a person feeling groggy for several hours, after being awoken, the natural alarm allows the sleeper's system to adjust normally to the artificial dawn so that the person wakes up without the groggy feeling.

Although these natural wake-up alarm systems exhibit some degree of efficacy, they still do not accommodate another very important sleep factor known as rapid eye movement (REM) sleep. Numerous studies have been done on sleep and sleep disorders. From these studies, sleep has been characterized as alternately having periods of REM sleep (typically lasting 5 - 60 minutes) interspersed with periods of non-REM sleep (typically lasting about 90 minutes).

Further, it has been shown that how quickly one awakens depends upon whether the person is woken during non-REM or REM sleep. A person having been woken during REM sleep finds it harder to wake up and function normally, experiencing more subsequent drowsiness than if woken during non-REM sleep. Unfortunately, these prior art systems wake the sleeper at a specific time independent of whether the person is in non-REM or REM sleep.

It has also been shown that dreams occur during the REM sleep. Typically, those dreams are forgotten. Often, people struggle to remember recent dreams and dream interpretation is part of popular culture, *see e.g.*, <http://www.dream-analysis.com/> and *see*, [http://dir.yahoo.com/Social\\_Science/Psychology/Branches/Sleep\\_and\\_Dreams/](http://dir.yahoo.com/Social_Science/Psychology/Branches/Sleep_and_Dreams/).

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Waking during REM sleep or immediately thereafter would assist in remembering dreams.

Thus, there is a need for an alarm clock capable of waking sleepers during non-REM sleep with less resulting drowsiness, the awakened sleeper feeling refreshed and alert or, conversely to select having a recollection of some of the previous nights dreams.

#### OBJECTS OF THE INVENTION

It is a purpose of the present invention to assist in selecting a wake up time consistent with the most optimum period in which to be awoken;

It is another purpose of the present invention to allow a sleeper to set a wake up alarm time such that an alarm occurs during a sleeper's non-REM sleep periods;

It is yet another purpose of the present invention to allow a sleeper to set a wake up alarm time such that an alarm occurs during a sleeper's REM sleep periods;

It is yet another purpose of the present invention to allow select sounding a wake up alarm only during selected sleep periods.

#### SUMMARY OF THE INVENTION

The present invention is a programmable alarm clock system, method of operation and program product therefor with sleep analysis to identify and wake a person during REM, non-REM sleep patterns or other identifiable sleep patterns, such as a slow brain wave pattern. Sleepers awoken during a non-REM phase of sleep suffer less subsequent drowsiness and may function better during normal day-to-day activities. Sleepers

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awoken during REM sleep or immediately thereafter may be allowed to remember some of the previous evening's dreams.

The programmable alarm clock system includes at least one brain activity sensor attachable to a head of a sleeper. Brain activity signals from the sensor(s) are sent to a receiver at a local computer. Before retiring, the user inputs a wake up time, that may be in the form of a wake up command (e.g., "first REM phase after 6:30 am") and, then attaches the sensor(s). The local computer sends brain activity signals to a remotely connected sleep analyzing server which analyzes the brain activity and identifies REM sleep periods and non-REM sleep periods. The labeled brain activity periods are returned to the local computer which waits for the wake up time. Then, the local computer sets an alarm time by adjusting the wake up time to coincide with the selected wake up time/command, e.g., a non-REM sleep period, if necessary and if possible. At the alarm time, the local computer sends a trigger to a remotely triggered local alarm device such as a clock, which sounds an alarm to wake the user/sleeper.

#### BRIEF DESCRIPTION OF THE FIGURES

The foregoing and other objects, aspects and advantages will be better understood from the following detailed preferred embodiment description with reference to the drawings, in which:

Figure 1 is a block diagram of the preferred embodiment sleep analysis and alarm system 100 for waking a person during periods of non-REM sleep;

Figure 2 is an example of a prototype sleep chart;

Figure 3 is a flowchart of the steps of the preferred embodiment of the present invention;

Figure 4 is a block diagram of the preferred Computerized Sleep Analyzing Web Server in communication with one or more local PC through a network;

Figure 5 is a block diagram of the Signal Analyzer which charts data passed to it from the Signal Processing Unit;

5 Figure 6 is a block diagram of the preferred Local Alarm Program;

Figure 7 is a flow chart of the preferred Decision Maker of the LAP of Figure 6.

### DETAILED DESCRIPTION OF THE INVENTION

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Referring now to the drawings, and more particularly, Figure 1 is a block diagram of the preferred embodiment sleep analysis and alarm system arrangement 100 for waking a person 102 during selected periods of sleep. The arrangement includes a local computer 104, which may be a personal computer (PC) for local control. Wireless sensors 106 are attached the eyelids or elsewhere on the head 108 of the sleeping person (sleeper) 102. The local computer is connected over a network 110 to a Computerized Sleep Analyzing Web Server (C-SAWS) 112. The network 110 may be a wireless network or, a more traditional wired network. The local computer 104 is in communication with a Local Alarm Device (LAD) 114 or clock. Optionally, the local computer 104 may be an embedded device, embedded in and part of the LAD 114. Further, the LAD 114 may include the C-SAWS 112 in addition to or independent of inclusion of the local computer 104.  
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Before retiring, the user 102 manually inputs a designated wake-up time (DWT) into the local computer 104 that acts as a controller. Alternately, the user 102 may select a preferred wake up pattern in lieu of selecting a DWT. Input may be made vocally through the microphone or manually. The DWT is taken as a target and passed to a Local Alarm Program (LAP) running in the local computer 104. After setting the alarm, the



the local computer 104, the LAP interrogates the chart 120 and decides when to initiate a wake up alarm. The chart 120 contains information identifiable by the LAP concerning when the sleeper 102 is experiencing REM sleep, non-REM sleep or other sleep patterns, and which the LAP can use to project future expected periods of REM sleep.

5           If the LAP determines that the DWT occurs during REM sleep, the LAP also decides an appropriate alternate time to wake the sleeper 102, whether before, after or during REM sleep. So, to provide guidance in selecting an appropriate time other than the DWT when the DWT falls within a REM sleep period 122, at the time that the user 102 designates the wake up time, the user 102 may also provide the LAP computer 104 with optional REM margins. The optional REM margins indicate how long before or  
10           after the DWT that the computer should advance or delay the alarm, if necessary, to avoid waking the sleeper 102 in REM sleep. If an early REM margin is not provided, then at the DWT the LAP computer 104 checks whether the sleeper 102 is in REM sleep and, if so, may postpone the alarm until it is anticipated that the sleeper 102 will enter a  
15           non-REM sleep period 124.

          If an early REM margin is provided, then as the DWT approaches, using the sleeper's chart 120, the LAP projects whether the sleeper 102 will be in REM or non-REM sleep at the DWT. If it is determined that the sleeper 102 will be in REM sleep at the DWT, the alarm may be advanced or, tentatively, delayed according to previously  
20           selected user preferences or according to historical user preferences. If the alarm is tentatively delayed, the sleeper's sleep state is checked at the DWT for REM sleep and, the alarm is postponed if the sleeper 102 is in REM sleep. Otherwise, the alarm is issued at the DWT.

Thus, based on the above analysis, the LAP computer 104 issues the alarm, e.g., sending radio control signals to the wireless clock 114 or a clock radio which broadcasts the alarm to wake the sleeper 102. Alternatively, the sleeper 102 may be awakened using a traditional-like clock that is controlled by wired or wireless communication with the  
5 LAP computer or, a clock radio like wireless peripheral may be caused to play a previously selected specific message or song.

Figure 3 is an example of a flowchart of the preferred embodiment of the present invention for waking a sleeper 102 during a period of a selected sleep pattern. In the example of Figure 3, non-REM sleep patterns are selected to wake the sleeper 102 feeling  
10 rested and alert. It is understood, however, that in addition to non-REM sleep patterns, other sleep patterns may be selected, for example, such as REM pattern periods, slow wave pattern periods, or any recognizable brain wave pattern periods. These types of sleep periods are provided for example only and not intended as a limitation. So, if a user 102 wishes to remember some of the previous nights dreams, the user 102 may select to  
15 be woken during REM sleep pattern period instead of non-REM sleep patterns.

Initially, in step 130 electronic signals from the sensors 106 on the sleeper's head are received and sent to a central server, the C-SAWS 112. The C-SAWS 112 analyzes the electronic signals in step 132 to create a brain activity chart. In step 134 the charted signals are labeled according to the type of selected sleep pattern, i.e., periods on the chart  
20 are identified and labeled as either REM sleep or non-REM sleep in this example. Then, in step 136, the labeled charts are sent to an appropriate local computer 104 for interrogation by the LAP. If the local computer 104 determines in step 138 that the sleeper 102 is currently experiencing non-REM sleep, then in 139 the local computer 104 initiates an alarm to wake the sleeper 102. Otherwise, if the sleeper 102 is in REM sleep,



in 138R the local computer 104 must determine whether to wait until the end of a previously selected margin or to forcefully awaken the sleeper 102.

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Figure 4 shows a block diagram of the preferred Computerized Sleep Analyzing Web Server 112 in communication with one or more local computer 104 through a network 110. The C-SAWS 112 receives brain activity information in Receiving Module 140 which passes the digitized signal to an optional Signal Processing Unit 142. The optional Signal Processing Unit 142 is included when some or all of the sensors 106 are analog. Analog signals from analog wireless sensors 106 are converted to digital signals by the Signal Processing Unit 142.

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The digitized signal is then passed to the Signal Analyzer 144 which charts the data and automatically detects the selected sleep patterns based upon previous selected prototypes. The Signal Analyzer 144 passes the charted data to the Signal Labeler 146, which labels the chart with identified pattern areas labeled as REM periods or non-REM periods (in the example of Figure 3) in a computer readable format acceptable by the LAP 104. When data is requested, a Sender 148 sends the labeled chart over the network, e.g., the Internet, to the requesting LAP 104.

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Figure 5 is a block diagram of an example of the Signal Analyzer 144 which charts data passed to it from the Signal Processing Unit 142 or, when digital sensors 106 are employed, from the LAP unit 104. The chart data is input to a Normalizer 150 which converts the data to standard input format. The normalized data is sent to a Comparator 152 where it is compared with prototype data from a previous prototype database 154 that includes prototypical periods, REM periods 156 and non-REM periods 158 in the example of Figure 3. These sleep pattern period prototypes may be generated using techniques such as taught in US Patent No. 5,577,135 entitled "Handwriting Signal

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Processing Front-End For Handwriting Recognizers" to Grajski et al., which is incorporated herein by reference. A Segmentator 160 separates the relevant pattern data, e.g., REM data from the non-REM data, and forwards the separated data to the Signal Labeler 146.

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5 Prior to occurrence of the DWT and any selected early margin, the LAP computer 104 sends a message to C-SAWS 112 requesting a labeled chart for the sleeper 102 from the Signal Labeler 146. The labeled chart shows whether the user 102 is/will soon be experiencing a period of the selected sleep pattern, e.g., REM or non-REM sleep. Upon receiving the labeled chart, the LAP computer 104 initiates a decision maker process for  
10 deciding when to select as the best time to initiate an alarm. When the LAP computer 104 selects the best time to wake sleeper 102, the LAP may cause the local computer 104 to emit an alarm or, to transmit a signal to the LAD 114 to wake the sleeper 102. So, continuing the example of Figure 3, if the sleeper 102 is in REM sleep, the local computer 104 waits until the designated REM margin passes before signalling the LAD  
15 114 to wake sleeper 102.

Figure 6 is a block diagram of the preferred Local Alarm Program 170. The LAP 170 receives manual inputs 172 from a user 102 and labeled charts 174 from the C-SAWS 112. As noted above, the manual input 172 includes the DWT and wait (REM) margins. Also, the user 102 may indicate a priority, i.e., wherein the user 102  
20 wishes to be woken even during REM or, whether the LAP 170 should wait up to an amount of time specified by the wait (REM) margin. Both types of inputs 172 and 174 are used in a Decision Maker 176 for deciding when to initiate an alarm in 178. The Decision Maker 176 chooses whether to send an alarm 178 immediately or, wait as long as the specified wait (REM) margin after the DWT as specified by user 102.

Figure 7 is a flow chart of the preferred Decision Maker 176 of the LAP 170. In step 180, the decision maker periodically compares the given time interval input 182 and user priority input 184 to decide whether the alarm time has occurred. If not, then, the decision maker 176 waits, until the next time interval 182 occurs as determined by user priority input 184.

However, if the alarm time has occurred, the labeled chart received from the C-SAWS 112 is read in 186 to determine if the sleeper 102 currently is experiencing the selected sleep patterns in step 188, i.e., is in non-REM sleep in the example of Figure 3. If the sleeper 102 is in non-REM sleep, then in step 190, the Decision Maker 176 sends a signal to initiate an alarm. If in this example, however, the sleeper 102 is in REM sleep, i.e., in step 186 determined not to be experiencing the selected sleep patterns, then, an appropriate alarm time must be determined. The sleeper's brain activity history or prior sleep patterns are analyzed in 192, checking previously collected data and sleep pattern prototypes. From the sleeper's brain activity history in 194 a determination is made whether the sleeper 102 will enter a selected sleep period (i.e., a non-REM sleep period) during the selected time interval 182. If in step 194, it is determined that selected sleep period will occur within the margin, then in step 196, the Decision Maker 176 waits for the selected sleep period.

Otherwise, if a period of the selected sleep will not occur, then in step 196 user priorities, provided as manual input 172, are checked to determine whether to wait or wake the user 102 during REM sleep. If the manual input 172 provides for waiting until the next selected sleep period occurrence, then in 198 the Decision Maker 176 waits for selected sleep patterns to be identified by C-SAWS 112, which determine when the sleeper 102 is woken by an alarm signal initiated by the LAD.

